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12-12-05

Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE 14 Dinger the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/847,208 **Application Number** TRANSMITTAL May 1, 2001 Filing Date Andrew Saxon **FORM** First Named Inventor (to be used for all correspondence after initial filing) 1644 Group/Art Unit Phuong N. Huynh **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 39754-0672A ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) **Exhibits** Petition to Convert to a Status Letter Provisional Application Affidavits/declaration(s) Power of Attorney, Revocation Additional Enclosure(s) Change of Correspondence Address (please identify below): Extension of Time Request 12 References and Return Receipt Terminal Disclaimer Information Disclosure Statement Postcard with PTO-1449 Small Entity Status Claimed Certified Copy of Priority Statement under 3.73(b) Document(s) Remarks Response to Missing Parts/ **AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY** Incomplete Application DOCKET NO.: 39754-0672) FOR ANY FEES DUE IN CONNECTION WITH THIS Response to Missing PAPER. Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Leslie Mooi, Reg. No.: 37,047, HELLER EHRMAN LLP Individual name Signature Date Customer Number: 25213 December 9, 2005 CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 Express Mail Label: EL 993 630 448 US Jason A. Gonzalez Typed or printed name Date December 9, 2005 Signature

Burden Hour Statement: This form is stimulated to lake 02 Jours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop ____, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04)
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fo	r FY	200	5	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	1	80

Complete if Known					
Application Number	09/847,208				
Filing Date	May 1, 2001				
First Named Inventor	Andrew Saxon				
Examiner Name	Phuong N. Huynh				
Art Unit	1644				
Atterney Docket No.	39754-0672A				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)			
Check Credit card Money Other None	3. ADDITIONAL FEES					
Order Order	<u>Large</u>	Entity	Smal	Entity	,	
Donneit	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number 08-1641 (39754-0672A)	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account HELLER EHRMAN LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	120	2251	60	Extension for reply within first month	
1. BASIC FILING FEE	1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity	1253	1,020	2253	510	Extension for reply within third month	
Fee Fee Fee Fee Fee Fee Paid Code (\$) Code (\$)	1254	1,590	2254	795	Extension for reply within fourth month	
1001 300 2001 150 Utility filing fee	1255	2,160	2255	1,080	Extension for reply within fifth month	
1002 200 2002 100 Design filing fee	1401	500	2401	250	Notice of Appeal	
1003 200 2003 100 Plant filing fee	1402	500	2402	250	Filing a brief in support of an appeal	
1004 300 2004 150 Reissue filing fee	1403	1,000	2403	500	Request for oral hearing	
1005 200 2005 100 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL'(1) (\$) 0	1452	500	2452	250	Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,500	2453	750	Petition to revive - unintentional	
Fee from		1,400	2501	700	Utility issue fee (or reissue)	
Total Claims Extra Claims below Fee Paid Total Claims X = X	1502	800	2502	400	Design issue fee	
Independent -3** = X		1,100	2503	550	Plant issue fee	\vdash
Claims ^	1460	130	1460	130	Petitions to the Commissioner	
· ·	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	180
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806	180	Submission of Information Disclosure Stmt Recording each patent assignment per	100
Code (\$) Code (\$)	8021	40	8021	40	property (times number of properties)	
1202 50 2202 25 Claims in excess of 20 1201 200 2201 100 Independent claims in excess of 3	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 360 2203 180 Multiple dependent claim, if not paid	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1204 200 2204 100 ** Reissue independent claims over original patent	1801	790	2801	395	Request for Continued Examination (RCE)	
1205 50 2205 25 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	·	
SUBTOTAL (2) (\$) 0	Other	fee (sp	ecify)			
**or number previously paid, if greater; For Reissues, see above	*Redi	uced by	Basic	Filing F	ee Paid SUBTOTAL (3) (\$)	180

SUBMITTED BY		(Complete	(Complete (if applicable))		
Name (Print/Type)	Leslie A. Mooi	Registration No. (Attorney/Agent) 37,047	Telephone	650.324.7000	
Signature	Listie 11/00		Date	December 9, 2005	

WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION	
)
Inventor(s): Andrew Saxon, et al.) .
) Art Unit: 1644
Application No.: 09/847,208)
,	Examiner: Phuong N. Huynh
Filed: May 1, 2001	,)
)
Title: Fusion Molecules and Treatment of IgE-Mediated	ý)
Allergic Diseases	ý

<u>UNDER 37 C.F.R. §1.97</u>

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

12/13/2005 MBIZUNES 00000054 081641 09847208 01 FC:1806 180.00 DA

	This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):						
		(1)	It is being filed within 3 months prosecution application under § OR	s of the application filing date and is other than a continued 1.53(d)			
		(2)	It is being filed within 3 months	s of entry of a national stage			
		(3)		date of the first Office Action on the merits			
		(4)		ng of a first Office Action after the filing of a request for 1.114.			
	37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:						
		a certif	fication as specified in §1.97(e) is	s provided below; or			
			f \$180.00 as set forth in §1.17(p) nt of other papers filed together v	is authorized below, enclosed, or included with the with this statement.			
	37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:						
	A	a certification as specified in §1.97(e) is completed below; and					
	B.	a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; and					
	C.		f \$130.00 as set forth in §1.17(i)(nt of other papers filed together v	1) is authorized below, enclosed, or included with the with this statement.			
\boxtimes	and cha	arge any	ion. The Commissioner is hereby additional fees or credit any over 8-1641 (Docket No.: 39754-067)	y authorized to charge the above-referenced fees of \$180.00 rpayment associated with this communication to Deposit 2A).			
				Respectfully submitted,			
			. 4	HELLER EHRMAN LLP			
Dated:	_£	xec 9	2005	By: Leslie Mooi Reg. No. 37,047			
HELLE	ER EHR	MAN L	LP	Reg. No.: 37,047			

HELLER EHRMAN LLP 275 Middlefield Road Menlo Park, CA 94025-3506 Telephone: 1.650.324.7000 Facsimile: 1.650.324.0638

Customer No.: 25213

INFORMATION DISCEOSTRE STATEMENT PTO-1449: 09 700 E			ATTY. DOCKET NO. SERIAL NO.								
			39754-0672A 09/847,208								
			APPLIC	APPLICANT: Andrew Saxon, et al.							
	19		FILING	G DATE: 05/01/200	1 G	ROUP: 1644		` 			
*	EMIGT	RADA U.S	S. PATE	NT DOCUMENTS							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME		NAME		CLASS	SUBCLASS	FILING DATE		
	2005/0250934	11/10/2005	Chang	y Yi Wang, et al.	530	387.1					
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		FOR	EIGN PA	TENT DOCUMENT	<u>s</u>		T				
EXAMINER'S INITIALS	PATENT NO.	DAT	E	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO				
						•					
	OTHER DO	CUMENTS (Including	Author, Title, Date,	Pertinent	Pages, Etc.)					
	ASHMAN, ROI Immunology, V			eptor Off Signal in the	B Cell Inv	olves Apoptosis",	The Jour	mal of			
	BOWIE, JAMES U., et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions", Science, Vol. 247, pp.1306-1310, 16 March 1990										
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	WAN, TOMMY, et al., "The Crystal Structure of IgE Fc Reveals an Asymmetrically Bent Conformation", Nature Immunology, Vol. 3, No. 7, pp. 681-686, July 2002										
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	ZHU, DAOCHE Degranulation",			uman Ig Fcγ-Fcε Chim 01	eric Fusion	Protein Inhibits I	°c∈RI-Me	ediated			
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EXAMINER		-	DATE	CONSIDERED							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.